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DELTAGEN, INC.

By: Deborah A. Wojarro  
Deborah A. Wojarro

PATENT  
Attorney Docket No.: R-236

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Russell PHILLIPS et al.

Application No.: 10/021,416

Filed: November 5, 2001

For: Secreted Protejn Associated With  
Depression, Compositions and Methods of  
Use Thereof

Examiner: Unassigned

Art Unit: 1645

SUPPLEMENTAL INFORMATION  
DISCLOSURE STATEMENT UNDER 37  
CFR §1.97 and §1.98

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

The references cited on attached form PTO/SB/08B are being called to the attention of the Examiner. Copies of the references are enclosed. It is respectfully requested that the cited references be expressly considered during the prosecution of this application, and the references be made of record therein and appear among the "references cited" on any patent to issue therefrom.

As provided for by 37 CFR 1.97(g) and (h), no inference should be made that the information and references cited are prior art merely because they are in this statement and no representation is being made that a search has been conducted or that this statement encompasses all the possible relevant information.

Applicant believes that no fee is required for submission of this statement, since it is being submitted prior to the first Office Action. However, if a fee is required, the Commissioner is authorized to deduct such fee from the undersigned's Deposit Account No. 50-1271. Please deduct any additional fees from, or credit any overpayment to, the above-noted Deposit Account.

Respectfully submitted,

  
Mariette A. Lapiz, Reg. No. 44,202

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MAL/dam

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

*(use as many sheets as necessary)*

Sheet	1	of	1
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**Complete if Known**

<b>Application Number</b>	10/021,416
<b>Filing Date</b>	11/5/01
<b>First Named inventor</b>	Russell Phillipps
<b>Art Unit</b>	1645
<b>Examiner Name</b>	Not assigned
<b>Attorney Docket Number</b>	R-236

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## U.S. PATENT DOCUMENTS

[illegible]

## FOREIGN PATENT DOCUMENTS

[illegible]

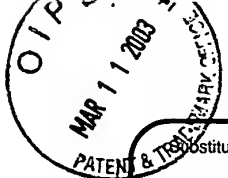
Examiner Signature		Date Considered	
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kind Codes of U.S. Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

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<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  (use as many sheets as necessary)		<b>Complete if Known</b>			
		Applicati n Number	10/021,416		
		Filing Date	11/5/01		
		First Named Inventor	Russell Phillips		
		Art Unit	1645		
		Examlner Name	Not assigned		
Sheet	2	of	2	Attorney Docket No.	R-236

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OTHER PRIOR ART – NON PATENT LITERATURE DOCUMENTS			
Examiner Initials *	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
	AC	ALBERTS et al., "GENE TARGETING MAKES IT POSSIBLE TO PRODUCE TRANSGENIC MICE THAT ARE MISSING SPECIFIC GENES" Molecular Biology of the Cell, 1994, pp. 329	
	AD	T. KIRK et al., "SITE-DIRECTED MUTAGENESIS BY GENE TARGETING IN MOUSE EMBRYO-DERIVED STEM CELLS" Cell, Vol. 51, November 6, 1987, pp. 503-512	
	AE	M. COHEN-TANNOUDJI et al. "BEYOND KNOCK-OUT MICE: NEW PERSPECTIVES FOR THE PROGRAMMED MODIFICATION OF THE MAMMALIAN GENOME" Molecular Human Reproduction, Vol. 4, No. 10, October 1998, pp. 929-938	
	AF	M. CAPECCHI, "ALTERING THE GENOME BY HOMOLOGOUS RECOMBINATION" Science, Vol. 244, No. 4910, June 16, 1989, pp. 1288-1292	
	AG	A. BRADLEY, "MODIFYING THE MAMMALIAN GENOME BY GENE TARGETING" Current Opinion in Biotechnology, Vol. 2, 1991, pp. 823-829	
	AH	T. GRIDLEY, "INSERTIONAL VERSUS TARGETED MUTAGENESIS IN MICE" The New Biologist, Vol. 3, No. 11, November 1991, pp. 1025-1034	

Examiner Signature		Date Considered	
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